


 Substitute Form PTO-1449
(Modified)

 U.S. Department of Commerce
Patent and Trademark Office

 Attorney's Docket No.
07977-165002

 Application No.
09/302,679

**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

37 CFR §1.98(b))

 Applicant
Shunpei Yamazaki, et al.

 Filing Date
April 30, 1999

 Group Art Unit
2827 2829

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
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Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
OT	AA	Case No. C 02-02800 WHA; Acer Incorporated and Acer America Corporation's Motion for Summary Judgment of Anticipation of 5,929,527 Patent; pages 1-8; July 9, 2003
	AB	Case No. C 02-02800 WHA; Declaration of Peter J. Wied in Support of Acer Incorporated and Acer America Corporation's Motion for Summary Judgment of Anticipation of U.S. Patent No. 5,929,527 Patent; pages 1-4; July 9, 2003
	AC	True and correct copy of U.S. Patent No. 5,929,527 (Exhibit A of Declaration of Peter J. Wied)
	AD	Case No. C 02-02800 WHA; Final Claim-Construction Order For United States Patent Nos. 5,929,527, 6,355,941, 6,404,476, and 6,404,480; pages 1-14, and Certificate of Service, pages 1-3; June 9, 2003 (Exhibit B of Declaration of Peter J. Wied)
	AE	True and correct copy of U.S. Patent No. 5,485,019 (Exhibit C of Declaration of Peter J. Wied)
	AF	Webster's II New College Dictionary, Houghton Mifflin Company; 3 pages including cover page, copyright page, and page 899; Copyright 2001, 1999, 1995 (Exhibit D of Declaration of Peter J. Wied)
	AG	Case No. C 02-02800 WHA; SEL's Opposition to Acer's Motion for Summary Judgment of Anticipation of U.S. Patent No. 5,929,527; Cover and pages 1-8; July 23, 2003
	AH	Case No. C 02-02800 WHA; Declaration of L. Rafael Reif in Support of SEL's Opposition to Acer's Motion for Summary Judgment of Anticipation of U.S. Patent No. 5,929,527; pages 1-4; July 18, 2003
	AI	Case No. C 02-02800 WHA; Declaration of Donald R. Harris in Support of SEL's Oppositions to the Five Summary Judgment Motions of AU and Acer; pages 1-4; July 23, 2003
	AJ	True and correct copy of U.S. Patent No. 5,929,527 (Exhibit 2 of Declaration of Donald R. Harris)
	AK	True and correct copy of U.S. Patent No. 5,485,019 (Exhibit 3 of Declaration of Donald R. Harris)
	AL	Wolf et al.; "Aluminum Thin Films and Physical Vapor Deposition in VLSI"; Silicon Processing for the VLSI Era, Chapter 10; pp. 331-334; 1986 (Exhibit 4 of Declaration of Donald R. Harris)
	AM	Case No. C 02-02800 WHA; Acer Incorporated's Answer to First Amended Complaint for Patent Infringement and Counterclaims; pages 1-11; September 24, 2002 (Exhibit 5 of Declaration of Donald R. Harris)
	AN	Case No. C 02-02800 WHA; Case Management Order for Patent Case and Reference to Magistrate Judge for Settlement/Mediation; pages 1-4; September 11, 2002 (Exhibit 6 of Declaration of Donald R. Harris)

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified) JUN 17 2004 OFFICE (37 C.F.R. § 1.98(b))	U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 07977-165002	Application No. 09/302,679
	Information Disclosure Statement by Applicant (Use several sheets if necessary)			
	Applicant Shunpei Yamazaki, et al.		Filing Date April 30, 1999	Group Art. Unit 2827 2829

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AO	Case No. C 02-02800 WHA; Acer Incorporated and Acer America Corporation's Reply in Support of It's Motion For Summary Judgment of Anticipation of U.S. Patent No. 5,929,527; pages 1-7; July 29, 2003
	AP	Case No. C 02-02800 WHA; Supplemental Declaration of Peter J. Weid in Support of Acer Incorporated and Acer America Corporation's Motion for Summary Judgment of Anticipation of U.S. Patent No. 5,929,527; pages 1-4; July 29, 2003
	AQ	Case No. C 02-02800 WHA; List of Issues on Which Plaintiff SEL will Offer Expert Testimony; pages 1-4; April 25, 2003 (Exhibit A of Declaration of Peter J. Wied)
	AR	Case No. C 02-02800 WHA; Videotape Deposition of Leo Rafael Reif, Ph.D. (word index); cover page and pages 70-72 and page 81; February 18, 2003 (Exhibit B of Declaration of Peter J. Wied)
	AS	True and correct copy of U.S. Patent No. 4,951,601 (Exhibit C of Declaration of Peter J. Wied)
	AT	Case No. C 02-02800 WHA; Case Management Order for Patent Case and Reference to Magistrate Judge for Settlement/Mediation; pages 1-4; September 11, 2002 (Exhibit D of Declaration of Peter J. Wied)
	AU	Case No. C 02-02800 WHA; Notice of Motion and SEL's Motion for Partial Summary Judgment on Defenses of Defendants; Memorandum of Points and Authorities (Redacted Version); pages i - iii and pages 1-18; July 9, 2003
	AV	Case No. C 02-02800 WHA; Declaration of Stanley A. Schlitter in Support of SEL's Two Motions for Partial Summary Judgment (Redacted Version); pages 1-6; July 9, 2003
	AW	True and correct copy of U.S. Patent No. 5,929,527 (Exhibit 22 of Declaration of Stanley A. Schlitter)
	AX	Case No. C 02-02800 WHA; Complaint for Patent Infringement - Demand for Jury Trial; pages 1-7; June 11, 2002 (Exhibit 23 of Declaration of Stanley A. Schlitter)
	AY	Case No. C 02-02800 WHA; Acer Incorporated and Acer America Corporation's Final Invalidity Contentions Pursuant to Patent Local Rule 3-6(B); pages 1-2; August 1, 2003
	AZ	Case No. C 02-02800 WHA; Exhibit A of Acer's Final Invalidity Contentions Pursuant to Patent Local Rule 3-6(B); pages 1-4; August 1, 2003
	AAA	Case No. C 02-02800 WHA; Supplemented Final Invalidity Contentions of AU Optronics Corporation Pursuant to Patent L.R. 3-6(b)(1); pages 1-7; August 4, 2003 with Appendixes 1-6 (listed separately below)
	ABB	Case No. C 02-02800 WHA; Supplemented Final Invalidity Contentions of AU Optronics Corporation Pursuant to Patent L.R. 3-6(b)(1); Appendix 1: Prior Art for Supplemented Final Invalidity Contentions - U.S. Patent No. 5,929,527; page 1
	ACC	Case No. C 02-02800 WHA; Supplemented Final Invalidity Contentions of AU Optronics Corporation Pursuant to Patent L.R. 3-6(b)(1); Appendix 2: Claim Charts for Supplemented Final Invalidity Contentions - U.S. Patent No. 5,929,527, page 1
	ADD	Case No. C 02-02800 WHA; Supplemented Final Invalidity Contentions of AU Optronics Corporation Pursuant to Patent L.R. 3-6(b)(1); Appendix 3: Prior Art for Supplemented Final Invalidity Contentions - U.S. Patent No. 6,355,941, pages 1-4
	AEE	Case No. C 02-02800 WHA; Supplemented Final Invalidity Contentions of AU Optronics Corporation Pursuant to Patent L.R. 3-6(b)(1); Appendix 4: Claim Charts for Supplemented Final Invalidity Contentions - U.S. Patent No. 6,355,941, pages 1-61

Examiner Signature 	Date Considered 12/10/04
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<i>JA</i>	AFF	Case No. C 02-02800 WHA; Supplemented Final Invalidity Contentions of AU Optronics Corporation Pursuant to Patent L.R. 3-6(b)(1); Appendix 5: Prior Art for Supplemented Final Invalidity Contentions – U.S. Patent No. 6,404,480, pages 1-2
<i>JA</i>	AGG	Case No. C 02-02800 WHA; Supplemented Final Invalidity Contentions of AU Optronics Corporation Pursuant to Patent L.R. 3-6(b)(1); Appendix 6: Claim Charts for Supplemented Final Invalidity Contentions – U.S. Patent No. 6,404,480, pages 1-11

Examiner Signature <i>Chris A. Fisher</i>	Date Considered <i>12/14/04</i>
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